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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/624,357 : Confirmation No.: 7231
Applicant : Aaron Scott Lukas, et al.
Filed : July 21, 2003
For : Mechanical Enhancement of Dense and Porous Organosilicate
Materials by UV Exposure

Art Unit : 2813
Examiner : Nema O. Berezny

Docket No. : 06381P USA
Customer No. : 23543

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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	Date
	<u>Rosaleen P. Morris-Oskanian</u>
	(Type or print name of person mailing paper)
	
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Sir:

**STATEMENT FOR INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. 1.97(e)**

This statement is being made for the Information Disclosure statement

☒ accompanying this statement.

or

☐ filed on .

I, the person(s) signing below, state:

☐ that each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement. 37 CFR 1.97(e)(1).

or

☒ that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in § 1.56(c) more than three months prior to the filing of the statement. 37 CFR 1.97(e)(2).

09/08/2005 EHAILE1 00000047 010493 10624357

01 FC:1806 180.00 DA

The person making this statement is the attorney/agent who signs below on the basis of the information:

- ☐ supplied by the inventor(s).
- ☐ supplied by an individual designated in § 1.56(c).
- ☒ in the attorney's file.

Respectfully submitted,



Rosaleen P. Morris-Oskanian
Attorney for Applicant(s)
Registration No. 47,321

7201 Hamilton Boulevard
Allentown, PA 18195-1501
(610) 481-8169



PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
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Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/624,357
				Filing Date	July 21, 2003
				First Named Inventor	Aaron Scott Lukas, et al.
				Art Unit	2813
				Examiner Name	Berezny, Nema O.
Sheet	1	of	1	Attorney Docket Number	06381P USA

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 5,935,646	08-10-1999	Raman, et al.	
		US- 6,231,989	05-15-2001	Chung, et al.	
		US- 6,426,236	07-30-2020	Ishizaka, et al.	
		US- 6,472,076	10-29-2002	Hacker	
		US- 2002/0132496	09-19-2002	Ball, et al.	
		US- 2003/0003288	01-02-2003	Nakata, et al.	
		US- 2003/0087042	05-08-2003	Murakami, et al.	
		US- 2003/0162034	08-28-2003	O'Neill, et al.	
		US- 2003/0198742	10-23-2003	Vrtis, et al.	
		US- 2003/0232137	12-18-2003	Vrtis, et al.	
		US- 2004/0096593	05-20-2004	Lukas, et al.	
		US- 2004/0096672	05-20-2004	Lukas, et al.	
		US-			
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				

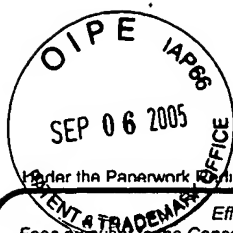
Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

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Effective on 12/08/2004.
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL

For FY 2005

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 180.00

Complete if Known

Application Number	10/624,357
Filing Date	July 21, 2003
First Named Inventor	Aaron Scott Lukas, et al.
Examiner Name	Nema O. Berezny
Art Unit	2813
Attorney Docket No.	06381P USA

METHOD OF PAYMENT (check all that apply)

- ☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____
- ☒ Deposit Account Deposit Account Number: 01-0493 Deposit Account Name: Air Products and Chemicals, Inc.
- For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)
- ☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee
- ☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

FEE CALCULATION

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent	50	25
Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent	200	100
Multiple dependent claims	360	180

<u>Total Claims</u>	<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>	<u>Multiple Dependent Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>
- 20 or HP = _____	x	50	=	0		
HP = highest number of total claims paid for, if greater than 20						
<u>Indep. Claims</u>	<u>Extra Claims</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>			
- 3 or HP = _____	x	200	=	0		
HP = highest number of independent claims paid for, if greater than 3						

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

<u>Total Sheets</u>	<u>Extra Sheets</u>	<u>Number of each additional 50 or fraction thereof</u>	<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>	
- 100 = _____	/ 50 = _____	(round up to a whole number) x	250	=	0

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other: Submission of an Information Disclosure Statement

Fees Paid (\$)

180

SUBMITTED BY

Signature		Registration No. (Attorney/Agent)	47,321	Telephone	610-481-8169
Name (Print/Type)	Rosaleen P. Morris-Oskanian	Date	9-2-2005		

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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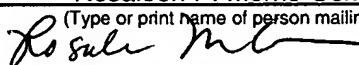
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	Date
	<u>Rosaleen P. Morris-Oskanian</u>
	(Type or print name of person mailing paper)
	<u></u>
	Signature of person mailing paper

Sir:

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. 1.97(d)**

The Information Disclosure Statement transmitted herewith is being filed *after* the period specified in paragraph 1.97(c), but on or before payment of the issue fee.

In accordance with the requirements of 37 C.F.R. 1.97(d), accompanying this transmittal is a statement, as specified in 37 C.F.R. 1.97(e) and the fee set forth in § 1.17(p).

Respectfully submitted,



Rosaleen P. Morris-Oskanian
Attorney for Applicant(s)
Registration No. 47,321

7201 Hamilton Boulevard
Allentown, PA 18195-1501
(610) 481-8169